#### U.S. Department of Commerce Patent and Trademark Office

Our Ref.: 39-219

### RECORDATION FORM COVER SHEET

### **PATENTS ONLY**

nmissioner of Patents and Trademarks
Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Pleas	e record the attached original documents or copy thereof.
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
Michael J. Emes	
Ian J. Tetlow	Name: THE VICTORIA UNIVERSITY OF
Caroline G. Bowsher	MANCHESTER
l work	Internal Address:
	Street Address: Oxford Road
Additional name/s of conveying party/ies attached?	
3. Nature of conveyance:	City: Manchester
Assignment	State/Country: United Kingdom
Security Assignment Change of Name	Zip: M13 9PL
Other	
Execution Date: September 15, 2000	Additional name/s & address/es attached?   Yes   No
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application, the execution	date of the application is:
A. Patent Application No(s).	B. Patent No(s).
(1) 09/646,532	(1)
(2)	(2)
(3)	(3)
Additional numbers att	
5. Name and address of party to whom correspondence	6. Total number of applications & patents involved:1
concerning document should be mailed:	7 T . 15 (27 CTD 2.41)
Name: Mary J. Wilson	7. Total fee (37 CFR 3.41) S 40.00
Ividiy J. Wilson	⊠ Enclosed
Internal Address:	Authorized to be charged to deposit account #14-1140
memai Addiess.	9. The Commissioner is hearthy such a incident about any
Street Address: Nixon & Vanderhye P.C.	8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which
1100 North Glebe Road	should have been filed herewith (or with any paper thereafter
8 <sup>th</sup> Floor	filed in this application by this firm) to our Account No.
City: Arlington State: VA Zip: 22201	14-1140.
	14-1140.
DO NOT USE	THIS SPACE
9. Statements and signature.	
To the best of my knowledge and belief, the foregoing informat	ion is true and correct and any attached copy is a true copy
of the original document.	
ν	- N2 4667
Mary J. Wilson	October 3, 2000
Sign	nature Date
Reg. No. 32,955	
। Otal number of pages including origin	nal cover sheet, attachments, and document: [3]



39-219 PCB/NM/P088125PUS



Nixon & Vander P.C. (10/99) (Domestic Non-Assal Red/Foreign)

# RULE 63 (37 C.F.R. 1.63) DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated beloweext to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if heral names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TRANSPORTER PROTEIN the specification of which (check applicable box(s)): is attached hereto was filed on as U.S. Application Serial No. 09/646,532 (Atty Dkt. No. 39-219) PCT/GB99/00727 was filed as PCT International application No. 19 March 1999 and (if applicable to U.S. or PCT application) was amended on 16 June 2000 I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. 1.56. 1 hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application: Priority Foreign Application(s): Application Number Country Day/Month/Year Filed 9805939.7 Great Britain 20 March 1998 I hereby claim the benefit under 35 U.S.C. §11 9(e) of any United States provisional application(s) listed below. **Application Number** Date/Month/Year Filed hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application: Prior U.SJ13CT Application(s): Status: patented Application Serial No. Day/Month/Year Filed pending, abandoned PCT/GB99/00727 19 March 1999 I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed), and the following attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R.Crawford, 25327; Larry S.Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515; Raymond Y. Mah, 41426. I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s). 1. Inventor's Signature: Inventor: MICHAEL **EMES** BRITISH (first) MI (last) (citizenship) Residence: (city) Stockport (state/country) United Kingdom Post Office Address: 19 Odgen Road, Bramhall, Stockport, United Kingdom SK7 1HJ (Zip Code) Inventor's Signature: 2. Date Inventor: IAN TETLOW J BRITISH (first) МΙ (last) (citizenship) Residence: (city) Rochdale (state/country) United Kingdom 16 Thames Road, Milnrow, Rochdale, United Kingdom Post Office Address: (Zip Code) OL<sub>16</sub> 3. Inventor's Signature: Inventor: CAROLINE BOWSHER BRITISH G MI (first) (last) (citizenship) Residence: (city) Cheshire (state/country) United Kingdom Post Office Address: 9 Gloucester Drive, Sale, Cheshire, United Kingdom (Zip Code) M33 5DH

FOR ADDITIONAL INVENTORS, check box 🛘 and attach sheet with same Information and signature and date for each.

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Applicant or Patentee:	hael James Emes, Ian Joseph Tetlow, and Caroin ace Bowsher	
Attorney's Docket No.	219	
Serial or Patent No:	···	
Filed or Issued:		
For:		

For:	
•	VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27 (b)) - INDEPENDENT INVENTOR
(c) for purpose	ned inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR s of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to lemark Office with regard to the invention entitled
	Transporter Proteindescribed
[]	the specification filed herewith application serial no, filed,
[ ]	application serial no, filed
grant, convey o	ned, granted, conveyed or licensed and am under no obligation under contract or law to assir license, any rights in the invention to any person who could not be classified as an independ 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualess concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).
	oncern or organization to which I have assigned, granted, conveyed, or licensed or am under r contract or law to assign, grant, convey, or license any rights in the invention is listed below
[ ] [X]	no such person, concern, or organization persons, concerns or organizations listed below*.
	*NOTE: Separate verified statements are required from each named person, concern organization having rights to the invention averring to their status as small entities. (37 C 1.27)
FULL NAME_	MICHAEL JAMES EMES
ADDRESS	19 ODGEN ROAD, BRAMHALL, STOCKPORT, SK7 1HJ, UNITED KINGDOM
(X) IN	DIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION
FULL NAME_	IAN JOSEPH TETLOW
ADDRESS	16 THAMES ROAD, MILNROW, ROCHDALE, OL16, UNITED KINGDOM
(X) IN	DIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION
FULL NAME_	CAROLINE GRACE BOWSHER
ADDRESS	9 GLOUCESTER DRIVE, SALE, CHESHIRE, M33 5DH, UNITED KINGDOM
(X) IN	DIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION
of entitlement to	the duty to file, in this application or patent, notification of any change in status resulting in los small entity status prior to paying, or at the time of paying, the earliest of the issue fee or at due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (but after the date on which status as a small entity is no longer appropriate.
I hereby declare	e that all statements made herein of my own knowledge are true and that all statements made

information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

MICHAEL JAMES EMES	IAN JOSEPH TETLOW	CAROLINE GRAGE BOWSHER
My Knes	L. Maria	Marin
Signature of Inventor	Signature of Inventor	Signature of Inventor
15 /9/00	15/9/00	15th of Septente 2000
Date	Date	Date

Form PTO-FB-A410 (8-83)

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Michael James Emes, Ian Joseph Tetlow, and Caroline Grace Bowsher
39-219

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27 (d)) - NONPROFIT ORGANIZATION

	STATUS (37 CFR 1.9 (f) and 1.27 (d)) - NONPROFIT ORGANIZATION	
I hereby	y declare that I am an official empowered to act on behalf of the nonprofit organization identified below:	
NAME	OF ORGANIZATION THE VICTORIA UNIVERSITY OF MANCHESTER	
	ESS OF ORGANIZATION OXFORD ROAD, MANCHESTER, M13 9PL, UNITED KINGDOM	
TYPE C	OR ORGANIZATION	
[X]	University or other institution of higher education	
[]	Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))	
[]	Nonprofit scientific or educational under statute of state of The United States of America	
	(Name of state)	
	(Citation of statue)	
[]	Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)) if located in The United States of America	
[]	Would qualify as nonprofit scientific or educational under statue of state of The United States of	
	America if located in The United States of America	
	(Name of state)	
	(Citation of statue)	
I banaba.	and declare the table and an extension in the state of th	
	y declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined CFR 1.9 (e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States	
	rith regard to the invention entitled	
inventor	r(s) described in	
[]	the specification filed herewith	
[X]	application serial no, filed patent no, issued	
[ ]	patent no, issued	
	by declare that rights under contract or law have been conveyed to and remain with the nonprofit action with regard to the above identified invention.	
having r	rights held by the nonprofit organization are not exclusive, each individual, concern or organization rights to the invention is listed below* and no rights to the invention are held by any person, other than entor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR	

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME	nen 35			FERGUSON			
ADDRESS	RFSY	()UNIVERSITY	OF M	ANCHESTER.	MANCHESTER	MI39PL	, UK
$\circ$	INDIVIDUAL (	) SMALL BUSINES	SS CONCE	ERN (X ) NONP	ROFIT ORGANIZATI	ION YOU	•

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to, small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERS	ON SIGNING	NEIL FE	<u>i</u> RGuson				
TITLE IN ORGA	NIZATION	MEAD,	ZGS 4				
ADDRESS OF PE		RGSY,	yniversi	TY 05	- MA	NCH ESTER	$\overline{}$
	OXFORD RUAD	MAN	CURSTER	MIS	9PL,	4K	
SIGNATURE	Min	cran-	DATE	<u> </u>	SEPT	2000	
		Ŋ	· <del></del>			<del></del>	
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Serial No.:C Applicant: Title: ADP	19/644,532  EMES et al  Bate: 10-3-2000  GUCOSE TRANSPORTER Client: MARIOS + CLERK  HE AMULOPLAST  Bef: 39-219
	Amendment Pages Specification Claims Sheets Drawings: Formal
	Declaration (Pages) Assignment + Recordation Form Cover Sheet Priority Document
\$40.00	Base Issue Fee Transmittal Fee (Check) Recordation FCE  ANSMITTAL LETTEL FILING COMPLETION  ANSMITTAL LETTEL FILING COMPLETION  ANSMITTAL LETTEL FILING COMPLETION  ANSMITTAL LETTEL FILING COMPLETION
SUBMIS STATEN	ANDMITTAL LETTEL FILTICO COMPENIES SSION AND REFUND REQUEST + VERIFIED DENTS CLAIMING SMALL ENTITY (2)







(Domestic Non-Assigned/Foreign)

RULE 63 (37 C.F.R. 1.63)

DECLARATION AND POWER OF ATTORNEY

FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TRANSPORTER PROTEIN

the specification of which (check applicable box(s)): is attached hereto was filed on as U.S. Application Serial No. (Atty Dkt. No. 39-219) X was filed as PCT International application No. PCT/GB99/00727 19th March 1999 and (if applicable to U.S. or PCT application) was amended on I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. 1.56. 1 hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application: Priority Foreign Application(s): Application Number Country Day/Month/Year Filed hereby claim the benefit under 35 U.S.C. §11 9(e) of any United States provisional application(s) listed below. pplication Number Date/Month/Year Filed thereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56 which occurred between the filing date of the ior applications and the national or PCT international filing date of this application: rior U.SJ13CT Application(s): Status: patented pplication Serial No. Day/Month/Year Filed pending, abandoned nereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity If the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint NIXON & VANDERHYE P.C., 1100 North lebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed), and the illowing attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R.Crawford, 25327; Larry S.Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515 I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s). 1, Inventor's Signature: Inventor: MICHAEL BRITISH МΙ (first) (citizenship) Residence: (city) Stockport (state/country) United Kingdom Post Office Address: 19 Odgen Road, Bramhall (Zip Code) SK7 1HJ 2. Inventor's Signature: Inventor: IAN **TETLOW BRITISH** J (first) MI (last) (citizenship) Residence: (city) Rochdale (state/country) United Kingdom Post Office Address: 16 Thames Road, Milnrow (Zip Code) **OL16** 3. Inventor's Signature: Inventor: CAROLINE G BOWSHER BRITISH (first) МІ (last) (citizenship) Residence: (city) Cheshire (state/country) United Kingdom Post Office Address: 9 Gloucester Drive, Sale (Zip Code) M33 5DH

FOR ADDITIONAL INVENTORS, check box 
and attach sheet with same Information and signature and date for each.

### **ASSIGNMENT**



WHEREAS, Michael James Emes, 19 Odgen	Road, Bramhall, Stockport, SK7 1HJ, United
Kingdom, and Ian Joseph Tetlow. 16 Thames Road,	Milnrow, Rochdale, OL16. United Kingdom,
and Caroline Grace Bowsher, 9 Gloucester Drive. Sale	: Cheshire, M33 5DH. United Kingdom
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hereinafter referred to as Assignors, has made a new a	nd useful invention in
Transporter P	
for which Assignors have made or will make application	on for Letters Patent of the United States; and
WHERE AC THE WAY TO A COM	
WHEREAS. The Victoria University of Ma	
hereinafter referred to as Assignee, a corporation of	
having a principal place of business at Oxford Road.	Manchester, M13 9PL, United Kingdom
desires to acquirethe e	entire right title and interest in to and under
said invention disclosed, described and claimed or inte	ended so to be in said application, and in to and
under said application, and in, to and under any and	all Letters Patent United States and foreign
which may be obtained therefor and thereon:	an soliois ratein. Cilitea States and foreign.
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NOW THEREFORE, To All Whom It M	fay Concern, be it known that for and in
consideration of the sum of One (\$1.00) Dollar and oth	ner good, valuable and sufficient considerations
to Assignors in hand paid, the receipt of which is	hereby acknowledged. Assignors have sold,
assigned and transferred, and by these presents does se	ell, assign and transfer unto Assignee.
the entire right, title, and interest in, t	o and under said invention disclosed, described
and claimed or intended so to be in said application, a States Letters Patent executed by Michael James Emes	and in, to and under said application for United
States Letters Patent executed by Michael James Emes	sthis 15 day of Sque e 2 20 00.
and by Ian Joseph Teltlow this day of	ex50 . 20 cc. and by Caroline Grace
Bowsher this day of Scribenson 20 c	and any and all other applications thereon
and arising therefrom, including any and all division	ns and continuations thereof, and any and all
patents to be issued and obtained therefor and ther	eon, United States and foreign, including all
reissues and extensions thereof.	
Assignors hereby authorize and request the f	firm of Nixon & Vanderhye P.C., 1100 North
Glebe Road, 8th Floor, Arlington, VA 22201-4714, U.	
	date and serial number of said application when
officially known, and Assignors hereby authorize	and request the Honorable Commissioner of
Patents and Trademarks to issue said letters Patent to a	Assignee, its assigns and legal, representatives.
Assigners agree to sign and assesses and and	- 1 - 4h
	all other papers necessary or desirable for the
procurement of Letters Patent on said invention in Assignee.	uns and an toreign countries for the use of
Signed at 16.00 on 15th Sept 2000	Signed at 16.02 on 15 whit 2000
	Signed at 10 2 on 1990 2000
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MICHAEL JAMÉS EMES	IAN JOSEPH TETLOW
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Signed at 16.04 on 15th Sept 2000
CAROLINE GRACE BOWSHER
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